



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants:** Casimer M. DeCusatis, et al.

**Examiner:** Dung T. Nguyen

**Serial No.:** 09/865,256

**Group Art Unit:** 2855

**Filed:** May 25, 2001

**Docket:** FIS920010069US1 (14401)

**For:** APPARATUS AND METHOD FOR  
WAVELENGTH-LOCKED LOOPS  
FOR SYSTEMS AND APPLICATIONS  
EMPLOYING ELECTROMAGNETIC SIGNALS

**Dated:** December 17, 2004

**Confirmation No.** 3977

Mailstop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 23313-1450

**INFORMATION DISCLOSURE STATEMENT**

**Sir:**

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. U.S. Patent No. 5,068,864, dated November 26, 1991, issued to Javan;
2. U.S. Patent No. 5,543,935, dated August 6, 1996, issued to Harrington;

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on December 17, 2004.

**Dated:** December 17, 2004

  
Steven Fischman

3. International Patent Application No. WO 99/43060 dated August 26, 1999; and
4. European Patent Application No. EP 0 840 468 A3, dated May 6, 1998.

Applicants are submitting a copy of International Patent Application No. WO 99/43060 and European Patent Application No. EP 0 840 468 A3. In accordance with the waiver of 37 C.F.R. § 1.98 (a)(2)(i), per 1276 OG 55, August 5, 2003, applicants are not required to submit copies of the above-cited U.S. Patent references.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,



Steven Fischman  
Registration No. 34, 594

Scully, Scott, Murphy & Presser  
400 Garden City Plaza, Suite 400  
Garden City, New York 11530  
(516) 742-4343

SF:gc

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				Docket Number (Optional)		Application Number	
				FIS920010069US1 (14401)		09/865,256	
				Applicant(s)		Group Art Unit	
				Casimer M. DeCusatis, et al.		2855-2828	
				Filing Date		May 25, 2001	
<b>U.S. PATENT DOCUMENTS</b>							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
JW		5,068,864	11/26/91	Javan			
JW		5,543,935	08/06/96	Harrington			
<b>U.S. PATENT APPLICATION PUBLICATIONS</b>							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<b>FOREIGN PATENT DOCUMENTS</b>							
REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
JU	WO 99/43060	08/26/99	PCT				
JW	EP 0 840 468 A3	05/06/98	Europe				
<b>OTHER DOCUMENTS</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>							
EXAMINER				DATE CONSIDERED			
<i>[Signature]</i>				<i>5/21/01</i>			

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.